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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **3176-7694**

First Inventor or Application Identifier **Garibaldi et al.**

Title **Method Of And Apparatus For Navigating**

Express Mail Label No. **EM165014426US**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☐ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **27**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
4. Oath or Declaration [Total Pages **3**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
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5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application,  
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☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: **PCT/US98/02835**

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

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PATENT

METHOD OF AND APPARATUS FOR  
NAVIGATING MEDICAL DEVICES IN BODY LUMENS

## CROSS REFERENCE TO RELATED APPLICATION

This application is a continuation in part of PCT application Serial No. PCT/US98/02835 filed February 17, 1998.

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## FIELD OF THE INVENTION

This invention relates to a method of, and apparatus for, navigating medical devices in body lumens, such as in blood vessels, the trachea, the  
10 gastrointestinal tract, or the urinary tract.

## BACKGROUND OF THE INVENTION

Many diagnostic and therapeutic medical procedures require navigating a medical device to a particular  
15 location through lumens in the body. For example, procedures such as cardiac catheterizations and interventional neuroradiology procedures involve the introduction of medical devices through the arteries; bronchoscopies involve the introduction of medical

devices through the trachea; endoscopies and colonoscopies involve the introduction of instruments through the gastrointestinal tract; and urethroscopies involve the introduction of medical devices through the  
5 urinary tract.

Numerous methods and apparatus have been developed for introducing medical devices in the body. Many of these methods employ guide wires for remotely controlling the orientation of the tip of the medical device as it is  
10 advanced in the body lumen. These guide wires typically have a bend in their distal ends, the tip is rotated until the tip is properly oriented, and the wire is then advanced. It is a difficult and tedious process to steer a medical device remotely with a guide wire since the  
15 orientation of the guide wire is difficult to control. Thus, these procedures can be prolonged, which increases the risk to the patient and fatigues the physician.

It has been proposed to guide medical devices in the body with magnets, see Yodh, Pierce, Weggel, and  
20 Montgomery, A New Magnetic System, for 'Intravascular Navigation', Medical & Biological Engineering, Vol. 6, No. 2, pp. 143-147 (March 1968), incorporated herein by reference. This article proposes a magnetically tipped catheter that is steered within the body by an externally  
25 applied magnetic field. However, the magnet in this proposed device is attached to the catheter which can impair the ability to control the magnet. Moreover, there is no provision for removing the magnet and leaving the catheter or other medical device in place. Thus,  
30 only one such catheter can be directed to a given position because the magnetic field acting on one magnet will also act on the other magnets in the vicinity.

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## SUMMARY OF THE INVENTION

The methods and apparatuses of the present invention involve magnetically guiding a medical device through a lumen in the body. Generally, according to the method of this invention, a magnet is provided on the end of a guide wire and an externally applied magnetic field orients the magnet in the body lumen. The magnet can be advanced through the body lumen by manipulating the magnetic field or by pushing the guide wire.

According to a first embodiment of this invention, a catheter may be disposed over a guide wire having a magnet on its distal end. The guide wire and catheter combination is introduced into a body lumen through a natural or surgically formed opening. Once in the body the guide wire and catheter combination is navigated through the body lumen by applying a magnetic field, which acts on the distal end of the guide wire, orienting it. Typically, the guide wire is advanced slightly ahead of the catheter at a branch in the body lumen, and a magnetic field is applied to orient the tip of the guide wire, and the guide wire is advanced in the direction of the tip which is oriented into the selected branch. The guide wire can be advanced by the application of the magnetic field, by pushing at the proximal end, or by both. The catheter is then advanced over the guide wire.

This process is repeated until the distal end of the catheter is at its desired location. Once the distal end of the catheter is in the desired position, the magnet can be withdrawn through the lumen of the catheter by pulling on the tether. Treatment, such as drug therapy or embolizing agents, can then be passed through the catheter.

According to a second embodiment of this invention, a guide wire with a magnet on the tip may be docked at the distal end of the lumen inside a catheter or other medical device. The guide wire and catheter

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combination is introduced into a body lumen through a natural or surgically formed opening. Once in the body lumen, the guide wire and catheter combination is navigated through the body lumen by applying a magnetic field, which acts upon the magnet-tipped guide wire in the catheter, orienting it. The catheter is advanced by pushing the guide wire. Once the distal end of the catheter is in the desired location, the guide wire can be withdrawn through the lumen of the catheter by pulling on the guide wire. Treatment, such as drug therapy or embolizing agents, can then be passed through the catheter.

The methods of the various embodiments of this invention, and the guide wire of the various embodiments of this invention, facilitate quick, easy and accurate positioning of a catheter or other medical device via a body lumen. Once the catheter is properly positioned, it can be used during a diagnostic or therapeutic procedure, either directly or as a passage for other medical devices.

These and other features and advantages will be in part apparent and in part pointed out hereinafter.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a longitudinal cross-sectional view of a guide wire and catheter combination constructed according to the principles of a first embodiment this invention;

Fig. 2 is a plan view of the guide wire of the first embodiment;

Fig. 3 is an enlarged cross-sectional view of the distal tip of the guide wire;

Fig. 4 is an enlarged cross sectional view the distal end of a first alternate construction of the guide wire of the first embodiment, using a socket to secure the magnet;

Fig. 5 is an enlarged cross sectional view of the distal end of a second alternate construction of the guide wire of the first embodiment, using a collar to secure the magnet.

5        Fig. 6 is an enlarged cross-sectional view of a third alternate construction of the distal section of the guide wire;

10        Fig. 7 is an enlarged cross-sectional view of a fourth alternate construction of the distal section of the guide wire;

Fig. 8 is a side elevation view of the distal section of a fifth alternate construction of the guide wire of the first embodiment with a portion broken away to show details of the construction;

15        Fig. 9 is a side elevation view of the distal end section of a sixth alternate construction of the guide wire of the first embodiment;

20        Fig. 10 is a side elevation view of the distal end section of a seventh alternate construction of the guide wire of the first embodiment;

Fig. 11 is a side elevation view of the distal section of an eighth alternate construction of the guide wire of the first embodiment;

25        Fig. 11a is an enlarged side elevation view of the eighth alternate construction of the distal end section, with a portion broken away to show details of the construction;

30        Fig. 12 is a side elevation view of the distal section of a ninth alternate construction of the guide wire of the first embodiment;

Fig. 12a is a side elevation view of the distal section of the third alternate construction of the guide wire, in a magnetic field;

35        Fig. 13 is a side elevation view of a tenth alternate construction of the distal section of the guide wire;

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Fig. 13a is a side elevation view of a tenth alternate construction of the distal tip of the guide wire, in a magnetic field;

Fig. 14 is a longitudinal cross-sectional view of the guide wire and endoscope combination constructed according to the principles of the first embodiment of this invention;

Fig. 15 is a longitudinal cross-sectional view of a guide wire and catheter combination according a second embodiment of this invention;

Fig. 16 is a longitudinal cross-sectional view of a guide wire and catheter combination with the guide wire partially withdrawn from the lumen of the catheter; and

Fig. 17 is a side elevation view of a guide wire and biopsy device according to the principles of the present invention.

Corresponding reference numbers indicate corresponding parts throughout the several views of the drawings.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A guide wire and magnet combination constructed according to the principles of a first embodiment of this invention is indicated generally as 20 in Fig. 1. The guide wire and catheter combination 20 comprises a guide wire 22 and a catheter 24. The guide wire 22 comprises a wire 26, which is preferably made of nitinol, which is highly flexible and resists kinking, although the guide wire could be made of some other suitable material. A magnet 28 is mounted on the distal end 30 of the wire 26.

This magnet may either be a permanent magnet or a permeable magnetic material. A permanent magnet is easier to orient under the application of a magnetic field, as described below, but a permeable magnetic material is easier to pull under the application of a magnetic field.

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In the preferred embodiment, the magnet 28 is made of NdFeB (neodymium-iron-boron) or samarium cobalt and is sized to respond to the magnetic field that will be applied to orient the guide wire 22 in the body lumen and to be retracted through the catheter 24. The magnet 28 is preferably elongate so that it can orient the tip of the guide wire 22 in the presence of an applied magnetic field. Magnets of about 0.3 mm (0.02 inches) to about 0.7 mm (0.03 inches) in diameter, and about 1 mm (0.04 inches) to 1.5 mm (0.06 inches) long are sufficiently large for use in navigating a guide wire.

As shown in Figs. 2 and 3, the magnet 28 is preferably a cylindrical body 34 with an axial bore 36 therethrough. The distal end of the wire 26 extends through the bore 36, and is secured with a bead 38 of adhesive on the distal side of the magnet 28. The bead 38 also provides a rounded head on the distal end 30 of the guide wire 22.

A first alternate construction of the guide wire 22 of the first embodiment is indicated generally as 40 in Fig. 4. The guide wire 40 is similar in construction to guide wire 22, comprising wire 42, having a proximal end (not shown) and a distal end 44. A mounting body 46, having a socket 48 therein, is attached to the distal end 44 of the wire. A magnet 50 is mounted in the mounting body. The magnet can be secured in the mounting body with adhesive, or the socket 48 can be crimped to secure the proximal end of the magnet 50 in the socket 48.

A second alternate construction of the guide wire 22 of the first embodiment is indicated generally as 60 in Fig. 5. The guide wire 60 is similar in construction to guide 22, comprising a wire 62 having a proximal end (not shown) and a distal end 64. A mounting collar 66 is attached to the distal end 64 of the wire 62. A magnet 68 is mounted on the mounting collar 66. The magnet 68



can be secured to the mounting collar 66 by adhesive or by fusion.

A third alternate construction of the guide wire 22 is indicated generally as 70 in Fig. 6. The guide wire 70 is similar in construction to guide 22, comprising a wire 72 having a proximal end (not shown) and a distal end 74, and a magnet 76 mounted on the distal end of the wire 72. The magnet 76 is preferably a cylindrical body with an axial bore 78 therethrough. The distal end of the wire 24 extends through the bore 78, and is secured with a bead 80 of adhesive on the distal side of the magnet 76. The bead 80 also provides a rounded head on the distal end of the guide wire 22. There is a tapering collar 82 on the wire 26 proximal to the magnet 76. The collar 82 facilitates withdrawing the magnet 76 through the distal end of the catheter 24. The collar can be made of a platinum or some other non-magnetic radio opaque material so that the position of the end of the guide wire can be easily located with x-ray or fluoroscopic imaging equipment.

A fourth alternative construction of a guide wire 22 is indicated generally as 90 in Fig. 7. The guide wire 90 is similar in construction to guide wire 22, comprising a wire 92 having a proximal end (not shown) and a distal end 94, and a magnet 96 on the distal end of the wire 92. The magnet 96 is preferably a cylindrical body with an axial bore 98 therethrough. The distal end of the wire 24 extends through the bore 98, and is secured with a bead 100 of adhesive on the distal side of the magnet 96. The bead 100 also provides a rounded head on the distal end of the guide wire 90. The guide wire 90 includes a sheath 102, made of flexible polyurethane tubing, extending over the wire 92. The sheath 102 preferably has the same outside diameter as the magnet 96, to smoothly slide in the lumen of the catheter, and to help prevent excessive movement of the guide wire 90

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within the lumen. The sheath 102 is preferably secured to the proximal end of the magnet 96 with an adhesive, such as SICOMET 40 available from Tracon.

A fifth alternate construction of the guide wire of the first embodiment is indicated generally as 110 in Fig. 8. Guide wire 110 comprises a wire 112 having a proximal end (not shown) and a distal end 114. The wire 112 is preferably made of nitinol, which is highly flexible and resists kinking, although it could be made of some other suitable material. A magnet 116, which can either be a permeable magnet or a permanent magnet, is secured on the distal end 114. A permanent magnet is easier to orient under the application of a magnetic field, as described below, but a permeable magnetic material is easier to pull under the application of a magnetic field.

The magnet 116 is preferably made of NdFeB (neodymium-iron-boron) or samarium cobalt and is sized to respond to the magnetic field that will be applied to orient the distal tip of the guide wire 110 in the body lumen and to be retracted through the lumen of the catheter or other medical device. The magnet 116 is preferably elongate so that it can orient the distal tip of the guide wire 110 in the presence of an applied magnetic field. Magnets of about 0.3 mm (0.02 inches) to about 0.7 mm (0.03 inches) in diameter, and about 1 mm (0.04 inches) to 1.5 mm (0.06 inches) long are sufficiently large for use in navigating a guide wire.

As shown in Fig. 8, the magnet 116 is preferably a cylindrical body. A magnetic or non-magnetic sleeve 118, made of a suitable sheet material or wire, covers the magnet 76 and extends over the distal end 114 of the guide wire 110, securing the magnet on the wire. In this preferred embodiment shown in Fig. 8 the sleeve 118 is made from a thin plastic tube, which is can be secured

over the magnet and the distal end of the guide wire, with an adhesive, or more preferably, by heat shrinking.

A sixth alternate construction of the guide wire of the first embodiment is indicated generally as 120 in Fig. 9. Guide wire 120 comprises a wire 122 having a proximal end (not shown) and a distal end 124. The wire 122 is preferably made of nitinol, which is highly flexible and resists kinking, although it could be made of some other suitable material. A magnet 126, which can either be a permeable magnet or a permanent magnet, is secured on the distal end 124, for example with adhesive.

A permanent magnet is easier to orient under the application of a magnetic field, as described below, but a permeable magnetic material is easier to pull under the application of a magnetic field.

The magnet 126 is preferably made of NdFeB (neodymium-iron-boron) or samarium cobalt and is sized to respond to the magnetic field that will be applied to orient the distal tip guide wire 120 in the body lumen and to be retracted through the lumen of the catheter or other medical device. The magnet 126 is preferably elongate so that it can orient the distal tip of the guide wire 120 in the presence of an applied magnetic field. Magnets of about 0.3 mm (0.02 inches) to about 0.7 mm (0.03 inches) in diameter, and about 1 mm (0.04 inches) to 1.5 mm (0.06 inches) long are sufficiently large for use in navigating a guide wire.

As shown in Fig. 9, the magnet 126 is preferably a cylindrical body. A sleeve 128, made of wire, covers the magnet 126 and extends over the distal end 124 of the wire 122, helping to secure the magnet on the wire. In this preferred embodiment shown in Fig. 9, the sleeve 128 is a coil of platinum wire, the proximal end of which is secured to the wire 122 proximal to the distal end 124, and the distal end of which is secured to the magnet 126.

The coil improves the axial stiffness of the distal end

while leaving the guide wire flexible in other directions to permit magnetic navigation. The coil also improves the radiopacity of the end of the guide wire so that it can be seen on x-ray and fluoroscopic images. The coil  
5 is secured to the wire 122 and to the magnet 126 with adhesive. The adhesive preferably fills the spaces between the turns of the coil around the magnet 126, so that the surface is smooth.

A seventh alternate construction of the guide wire  
10 of the first embodiment is indicated generally as 130 in Fig. 10. Guide wire 130 comprises a wire 132 having a proximal end (not shown) and a distal end 134. The wire 132 is preferably made of nitinol, which is highly flexible and resists kinking, although it could be made  
15 of some other suitable material. A magnet 136, which can either be a permeable magnet or a permanent magnet, is secured on the distal end 132, for example with adhesive.

A permanent magnet is easier to orient under the application of a magnetic field, as described below, but  
20 a permeable magnetic material is easier to pull under the application of a magnetic field.

The magnet 136 is preferably made of NdFeB (neodymium-iron-boron) or samarium cobalt and is sized to respond to the magnetic field that will be applied to  
25 orient the distal tip guide wire 130 in the body lumen and to be retracted through the lumen of the catheter or other medical device. The magnet 136 is preferably elongate so that it can orient the distal tip of the guide wire 130 in the presence of an applied magnetic  
30 field. Magnets of about 0.3 mm (0.02 inches) to about 0.7 mm (0.03 inches) in diameter, and about 1 mm (0.04 inches) to 1.5 mm (0.06 inches) long are sufficiently large for use in navigating a guide wire.

As shown in Fig. 10, the magnet 136 is preferably  
35 a cylindrical body. A coil 138 of platinum wire is disposed over the distal end portion of the wire 132.

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extending therethrough. The distal portion 54 of the wire 56 extends through the bores 60, and the magnets 52 are secured to the wire 56 in spaced apart relation with adhesive.

5           The magnets 166 are preferably made from NdFeB, and have a diameter of 2 mm (0.08 inches) and are 4 mm (0.16 inches) long. The magnets 166 are preferably spaced over the distal 5 cm (2 inches) of the guide wire 160, and are spaced 1 cm (0.4 inches) on center. Of  
10 course some other size magnets and/or different magnet spacing could be used. Moreover the spacing of the magnets does not have to be equal. This third alternate construction is particularly useful for an electrophysiology catheter where the magnetic fields  
15 could pull or shape the guide wire 160 to the heart wall, thereby guiding the electrophysiology catheter over the guide wire against the heart wall.

As shown in Fig. 12a, upon the application of a magnetic field, the magnets 166 on the distal end portion  
20 164 of the guide wire 160 cause the guide wire to assume a particular shape dictated by the field. Thus by controlling the applied magnetic field, the shape of the distal portion of the guide wire can be controlled, facilitating the navigation through, or shaping to, the  
25 body lumen. The guide wire 160 can be advanced by pulling with a magnetic force on the magnets 166, or the proximal end can be manually pushed. A magnetic pulling force could also be used to hold the catheter with guide wire to the wall of a body lumen.

30           A tenth alternate construction of the first embodiment of a guide wire constructed according to the principles of the present invention is indicated generally as 180 in Figs 13 and 13a. The guide wire 180 comprises a wire 182, having a proximal end (not shown)  
35 and a distal end 184. Instead of the single magnet on the distal end of the wire, or a plurality of magnets on

the distal end portion of the wire, the distal end portion 186 of guide wire 180 is made from a magnetic material.

The distal end portion 186 is preferably about 5 0.25 mm (0.01 inches) in diameter, and about 1 cm (0.4 inches) long. The distal end portion can be made of a permeable magnetic material such as a steel or a magnetic stainless steel wire, or a steel or a magnetic stainless steel braid.

10 As shown in Fig. 13a, upon the application of a magnetic field, the distal end portion 186 of the guide wire 180 assumes a particular orientation dictated by the field. Thus by controlling the applied magnetic field, the orientation and/or shape of the distal portion 186 of 15 the guide wire 180 can be controlled, facilitating the navigation through the body lumen. The guide wire 180 can be advanced by magnet force on the distal end portion 186, or the proximal end can be pushed. The magnetic field can also function to selectively stiffen the distal 20 end portion 186 of the guide wire, to facilitate navigation through the body lumen. This allows the guide wire 182 to be designed with the minimum amount of stiffness to overcome static friction when applying an axial pushing force on at the proximal end. Sufficient 25 stiffness for navigation can be provided by applying a magnetic field to the distal tip.

As shown in Fig. 1, the catheter 24 is preferably of conventional construction, having a proximal end 100, a distal end 202, and a lumen 204 extending therebetween. 30 The catheter 24 can be made of polyurethane tubing, or some other suitable material. The size of the catheter 24 depends upon where in the body it will be introduced, and how it will be used. For example, for use in the blood vessels in the brain, the catheter might have an 35 outside diameter of about 0.7 mm (0.03 inches), an inside diameter of about 0.6 mm (0.02 inches), and a length of

about 2m (6.6 feet). Of course, medical devices other than catheters can be used with the guide wire, for example an endoscope where the guide wire is inserted through its working channel. These devices would typically include a lumen extending all or partly along the length of the device that passes over the guide wire so that the device follows the guide wire.

One of the guide wires of the present invention can be introduced into a body lumen, such as a blood vessel, and navigated to its desired location by the controlled application of magnetic fields. The application of a magnetic field allows the operator to steer the distal end of the guide wire by orienting the distal end of the guide wire to the desired direction of travel. The guide wire can be advanced using the magnetic field to pull the magnet on the distal end of the guide wire, or the guide wire can be advanced by pushing the proximal end. As the guide wire advances, the catheter 24 or other medical device can be advanced over the guide wire, until the catheter or medical device is in its desired location.

Once the distal end 202 of the catheter 24 has been placed in its desired location, the guide wire can be left in place, or if the magnet is sufficiently small, the guide wire can be withdrawn through the lumen 204 of the catheter and out the proximal end 200.

The magnetic articulation of the distal end of the guide wire eliminates the need to provide a permanent bend in the guide wire in order to navigate through branches in body lumens. The straight configuration of the guide wires permitted by the present invention permits faster and easier navigation in straight sections of the body lumen and reduces unintentional diversion down branches of the lumen.

As shown in Fig. 14, one of the guide wires of this invention can be used to navigate an endoscope 300 through a body lumen, such as a colon. The endoscope 300



has a lumen 302 extending therethrough. A magnetic field is applied to orient the magnet on the distal end of the guide wire with the magnetic field. The endoscope 300 can then be advanced over the guide wire, the lumen 302 sliding over the guide wire. The guide wire is preferably incrementally advanced, and the endoscope is then advanced over the guide wire, until the distal end of the endoscope 300 reaches its desired location.

A guide wire and catheter combination constructed according to a second embodiment of this invention is indicated generally as 400 in Figs. 15 and 16. The guide wire and catheter combination 400 comprises guide wire 402 and catheter 404. The guide wire 402 comprises a wire 406, preferably made of nitinol, which is highly flexible and resists kinking, although the guide wire could be made of some other suitable material. A magnet 408 is mounted on the distal end 410 of the wire 406. This magnet may either be a permanent magnet or a permeable magnetic material. A permanent magnet is easier to orient under the application of a magnetic field, as described below, but a permeable magnetic material is easier to pull under the application of a magnetic field.

In the preferred embodiment, the magnet 408 is made of NdFeB (neodymium-iron-boron) or samarium cobalt and is sized to respond to the magnetic field that will be applied to move the guide wire 402 through the body lumen. The magnet 408 is preferably elongate so that it can orient the tip of the guide wire 402 in the presence of an applied magnetic field. Magnets of about 0.3 mm (0.02 inches) to about 0.7 mm (0.03 inches) in diameter, and about 1 mm (0.04 inches) to 1.5 mm (0.06 inches) long are sufficiently large for use in navigating a guide wire.

As shown in Fig. 16, the magnet is preferably a cylindrical body with an axial bore 412 therethrough.

The distal end of the wire 410 extends through the bore 412, and is secured with a bead 414 of adhesive on the distal side of the magnet 408. The bead 414 also provides a rounded head on the distal end of the guide wire 402. Of course instead of magnet 408, the guide wire 402 could have a plurality of spaced magnets on the distal end portion similar to guide wire 160, described above, or the distal end portion of the guide wire could be made of a flexible magnetic material similar to guide wire 180.

The catheter 404 is preferably of conventional construction, having a proximal end 416, a distal end 418, and a lumen 420 extending therebetween. The catheter 404 can be made of polyurethane tubing, or some other suitable material. The size of the catheter 404 depends upon where in the body it will be introduced, and how it will be used. For example, for use in the blood vessels in the brain, the catheter might have an outside diameter of about 0.7 mm (0.13 inches), an inside diameter of about 0.6 mm (0.11 inches), and a length of about 2 m (6.5 feet).

The guide wire 402 is adapted to fit inside the lumen 420, and "dock" with the catheter 404. To facilitate this, the distal end of the lumen 420 has a restriction or stricture 422 for engaging the distal end of the guide wire 422. This restriction or stricture is preferably formed by an annular flange 424 on ring 426 provided on the distal end of the catheter, although it could be some other reduction in the lumen that can be engaged by the guide wire. The ring 426 can be made of tantalum.

The guide wire and catheter combination 400 can be introduced into a body lumen, such as a blood vessel, and navigated to its desired position by the controlled application of magnetic fields. The application of a magnetic field allows the operator to steer the distal

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## OPERATION

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distal end of the catheter to perform a medical procedure.

Because the magnet on the guide wire can be removed from the treatment site, multiple catheters can  
5 be directed in the same general area to facilitate a medical procedure with independent control of the catheters. Of course, the magnet could be left in place within the catheter, if desired.

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What is claimed:

1. A guide wire for use in navigating a medical device through a body lumen to a particular location, the guide wire having a proximal end and a distal end, and a magnet on the distal end, the guide wire being sufficiently flexible adjacent the magnet to allow the wire to flex in response to a magnetic field applied to the magnet, yet the wire being sufficiently stiff to allow the wire to be advanced through the body lumen.
2. The combination according to claim 7 wherein the magnet on the distal end of the guide wire is a cylindrical body having an axial bore therethrough, and wherein the distal end of the guide wire extends into the axial bore.
3. The combination according to claim 7 wherein the magnet on the distal end comprises a flexible magnetic material forming the distal end section of the guide wire.
4. The combination according to claim 7 wherein the magnet on the distal end comprises a plurality of magnets on the distal end section of the guide wire in spaced apart relation.
5. The combination according to claim 7 wherein the magnet is a permeable magnetic material.
6. The combination according to claim 1 wherein the magnet is a permanent magnet.
7. In combination with a medical device having a proximal end, a distal end, and a lumen therebetween, a guide wire having a proximal end, a distal end, and a magnet on the distal end, the guide wire extending through the lumen of the medical device, with the distal end of the guide wire extending beyond the distal end of the catheter.
8. The combination according to claim 7 wherein the magnet on the distal end of the guide wire is

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15. The method according to claim 13 wherein the magnetic tip of the guide wire comprises the distal section of the guide wire being made from a flexible magnetic material.

16. The method according to claim 13 wherein the magnetic tip of the guide wire comprises a plurality of magnets secured on the distal end section of the guide wire in spaced apart relation.

17. The method according to claim 13 wherein the magnetic tip of the guide wire comprises a permeable magnetic material.

18. The method according to claim 13 wherein the magnetic tip of the guide wire comprises a permanent magnetic material.

19. The method according to claim 13, wherein the step of navigating the medical device comprises successively incrementally advancing the guide wire and the medical device.

20. The method according to claim 13 wherein the step of advancing the guide wire comprises pulling the guide wire with the magnetic field.

21. The method according to claim 13 wherein the step of advancing the guide wire comprises pushing the proximal end of the guide wire.

22. The method according to claim 13 wherein the step of advancing the guide wire comprises pulling the guide wire with the magnetic field and pushing the proximal end of the guide wire.

23. In combination with a guide wire having a proximal end, a distal end, and a magnetic distal tip, a medical device having proximal end, a distal end, and a lumen extending substantially to the distal end of the device, the guide wire extending into the lumen of the medical device with the magnetic distal tip in the distal end of the lumen in the medical device.

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inserting a guide wire having proximal end and a magnetic distal tip into the lumen until the magnetic tip is substantially adjacent the distal end of the medical device;  
10 inserting the medical device and guide wire into a lumen in the body;  
navigating the medical device through the lumen in the body by applying a magnetic field to orient the magnetic tip inside the lumen of the medical device so that the  
15 distal end of the medical device is oriented in the desired direction of travel; advancing the guide wire and medical device in the direction in which the distal end of the medical device is oriented.

32. The method according to claim 31 wherein the magnetic tip of the guide wire comprises a magnet mounted on the distal end of the guide wire.

33. The method according to claim 31 wherein the magnetic tip of the guide wire comprises the distal section of the guide wire being made from a flexible magnetic material.

34. The method according to claim 31 wherein the magnetic tip of the guide wire comprises a plurality of magnets secured on the distal end section of the guide wire in spaced apart relation.

35. The method according to claim 25 wherein the magnetic tip comprises a permeable magnetic material.

36. The method according to claim 25 wherein the magnetic tip comprises a permanent magnetic material.

37. The method according to claim 31, wherein the step of navigating the medical device comprises successively orienting and advancing the guide wire and medical device.

38. The method according to claim 31 wherein the step of advancing the guide wire and medical device comprises pulling the guide wire with the magnetic field.

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METHOD OF AND APPARATUS FOR  
POSITIONING OF MEDICAL DEVICES IN BODY LUMENS

## ABSTRACT OF THE DISCLOSURE

5 A guide wire combined with a catheter or medical  
device for moving through a body lumen to a desired  
position in the body with the aid of an applied magnetic  
field. The guide wire is provided with a magnet on its  
distal end that can be oriented or oriented and moved by  
the application of a magnetic field to the magnet. A  
catheter or other medical device can be advanced over the  
guide wire. Once the medical device is in its desired  
10 position, the magnet can be withdrawn through the lumen  
of the catheter. Alternatively, a guide wire with a  
magnet on its distal end can be docked at the distal end  
of a catheter or medical device and can be oriented, or  
oriented and moved by the application of a magnetic  
15 field.

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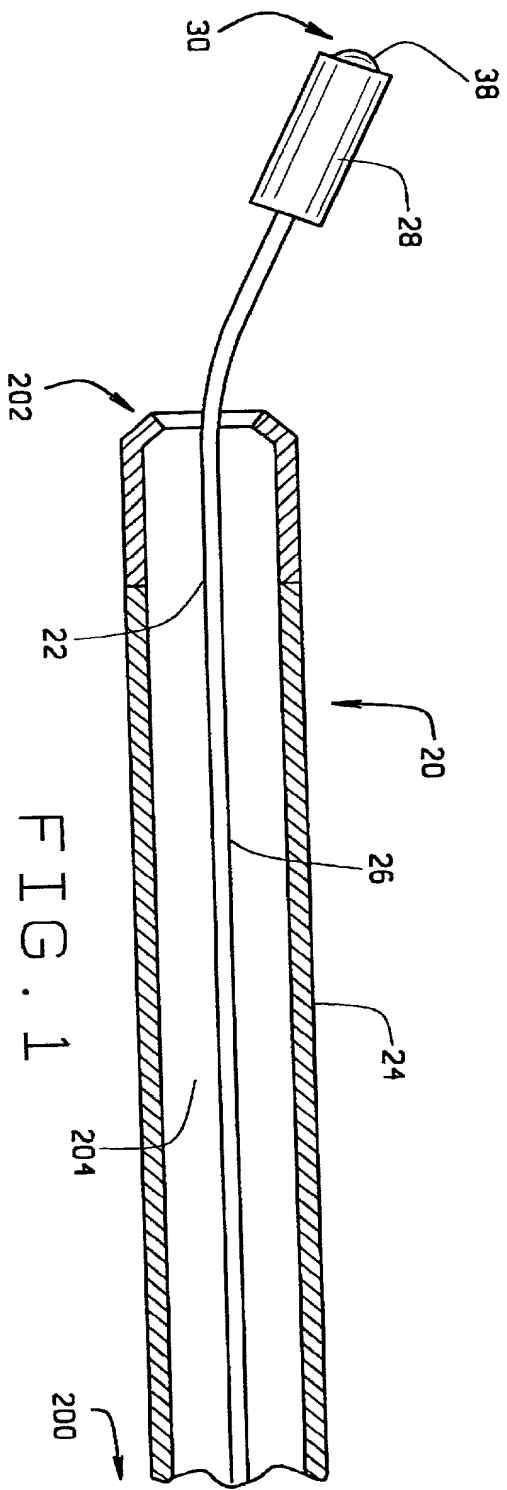


FIG. 1

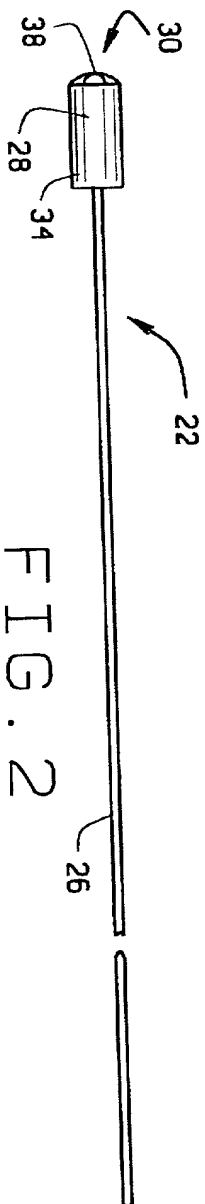


FIG. 2

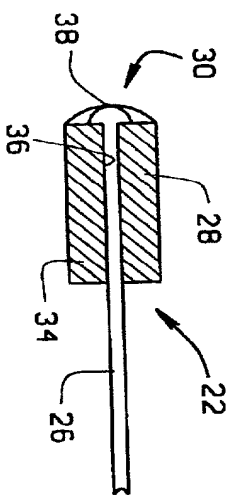


FIG. 3

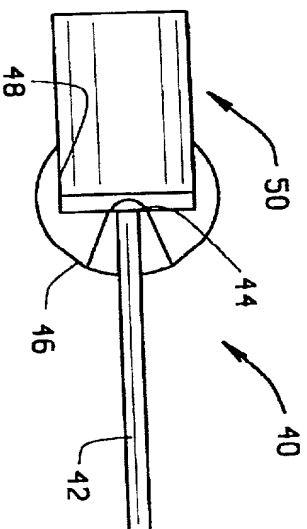


FIG. 4

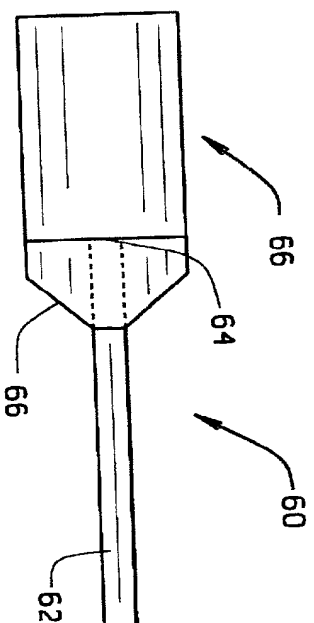


FIG. 5

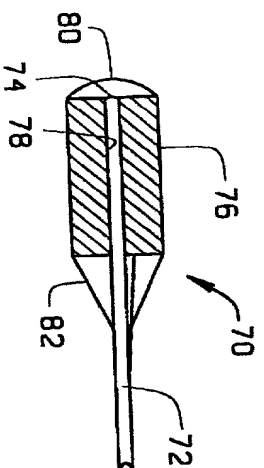


FIG. 6

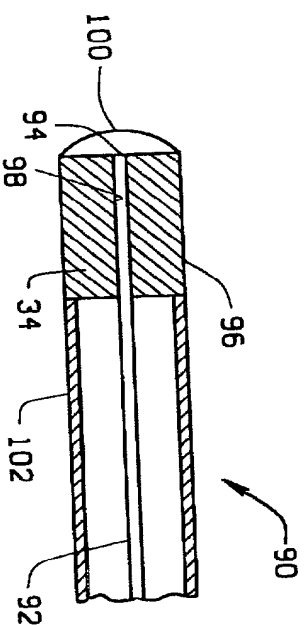


FIG. 7

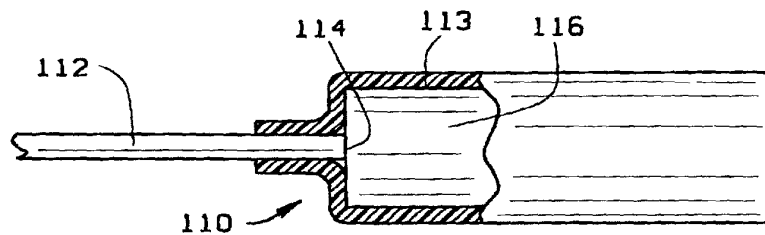


FIG. 8

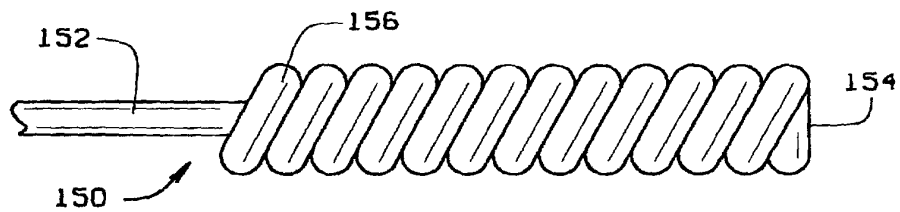


FIG. 11

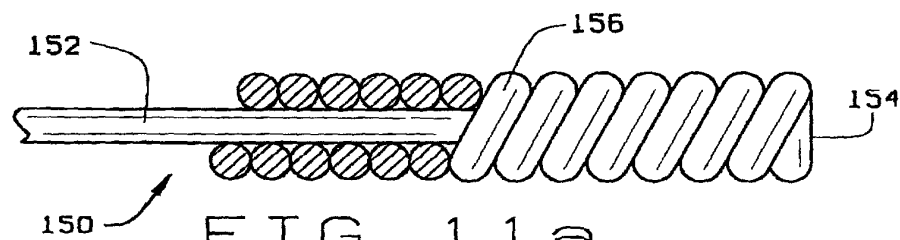


FIG. 11a

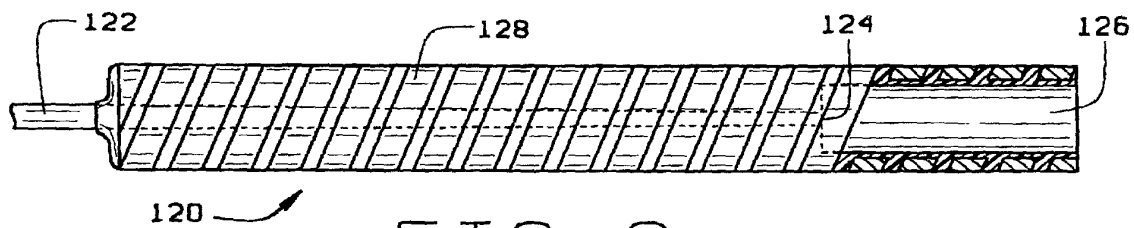


FIG. 9

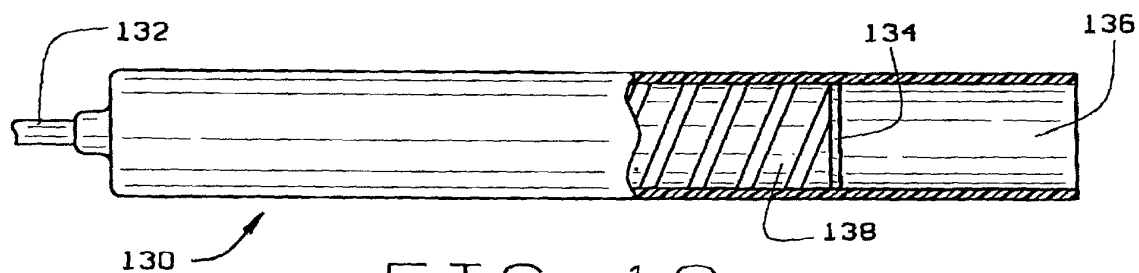


FIG. 10

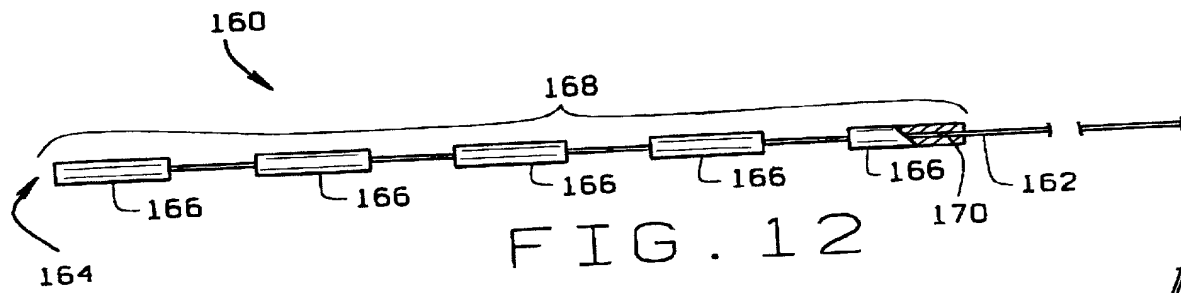


FIG. 12

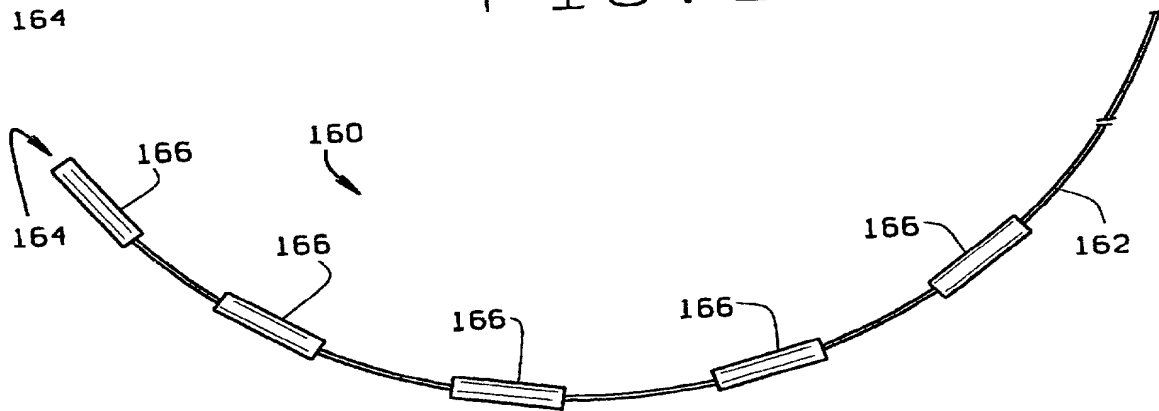


FIG. 12A

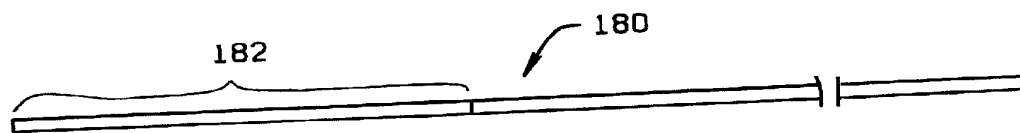


FIG. 13

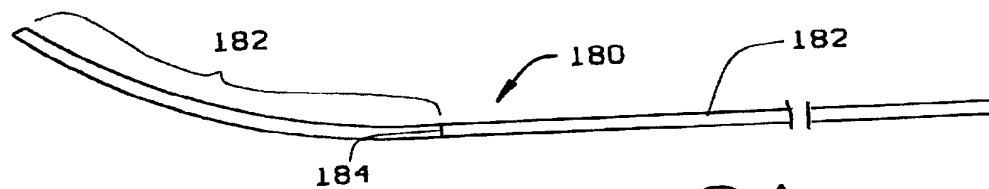
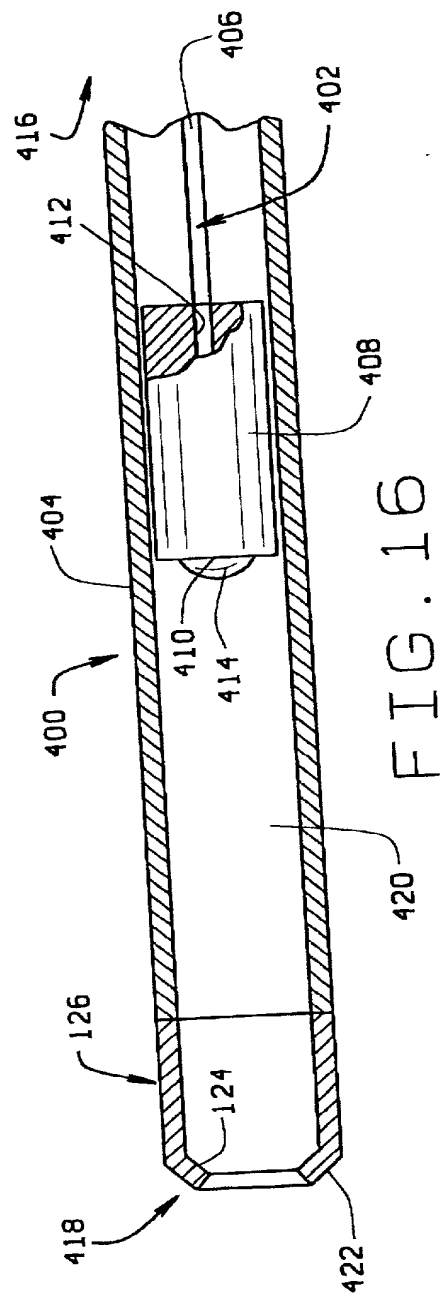
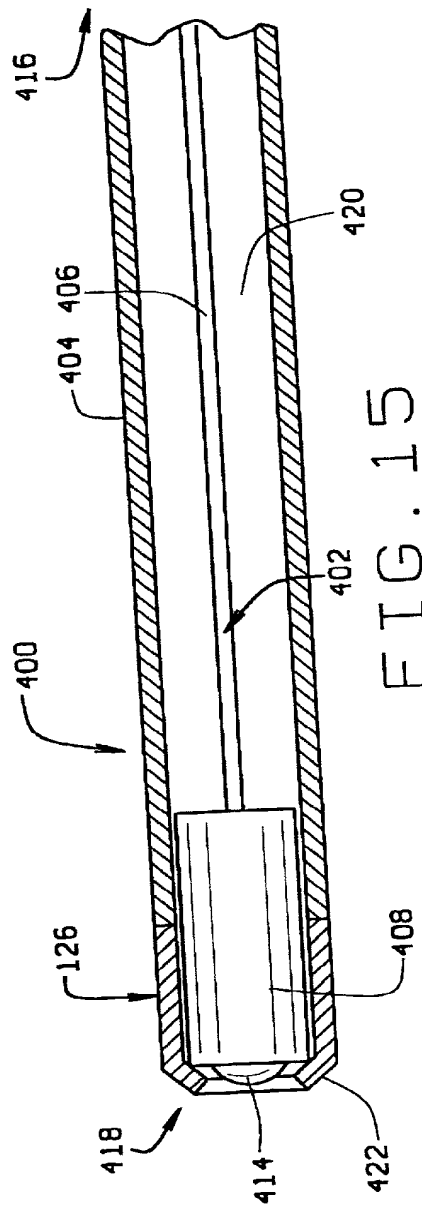


FIG. 13A





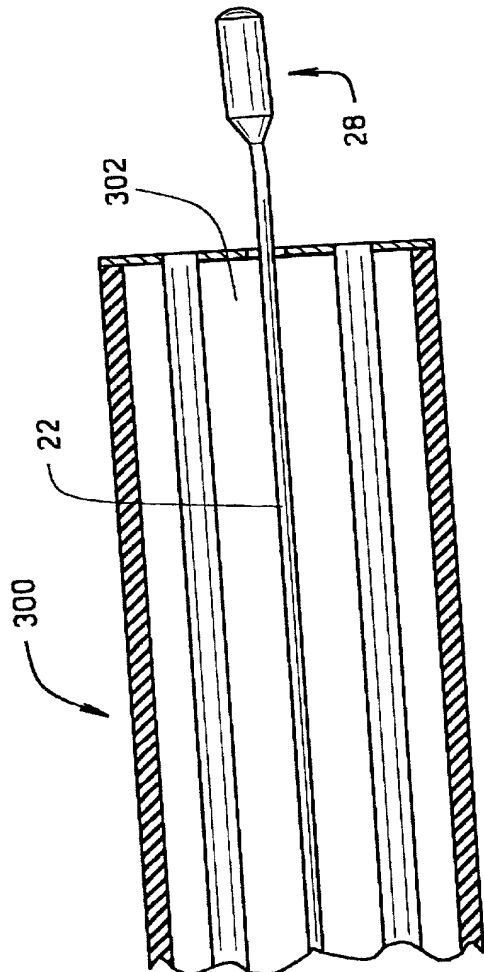


FIG. 14

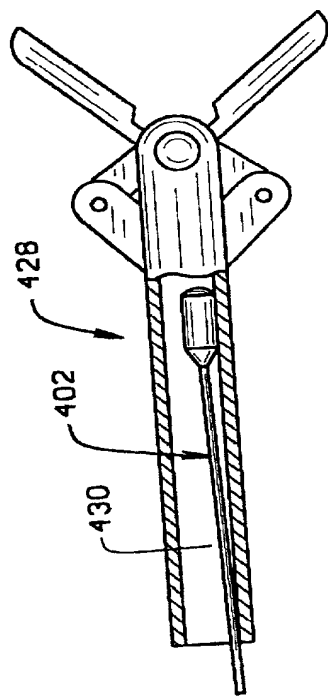


FIG. 17

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**COMBINED DECLARATION AND POWER OF ATTORNEY**

(Original, Design, National Stage of PCT or CIP Application)

---

Inventors: Garibaldi, Jeffrey M.; Blume, Walter M.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed above) or an original, first and joint inventor along with those listed above (if plural names are listed above) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Method Of And Apparatus For Navigating Medical Devices In Body Lumens

the specification of which: (Complete (a), (b) or (c) for type of application)

**REGULAR OR DESIGN APPLICATION**

- (a)   X   is attached hereto.
- (b)        was filed on    as Application Serial No.    and was amended on    (if applicable).

**PCT FILED APPLICATION ENTERING NATIONAL STAGE**

- (c)        was described and claimed in International Application No.    filed on    and as amended on    (if any).

**ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

       In compliance with this duty there is attached an information disclosure statement.  
37 CFR 1.97.

### PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

[Complete (d) or (e)]

(d)   X   no such applications have been filed.

(e)        such applications have been filed as follows.

#### EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION

Country	Application No.	Date of filing (day, month, year)	Date of issue (day, month, year)	Priority (day, month, year)	Claimed
					<u>      </u> YES <u>      </u> NO <u>      </u>
					<u>      </u> YES <u>      </u> NO <u>      </u>

#### ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION


### CONTINUATION-IN-PART

(Complete this part only if this is a continuation-in-part application)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

<u>PCT/US98/02835</u>	<u>17/02/98</u>		<u>pending</u>
(Application Serial No.)	(Filing Date)	(Status)	(Patent, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status)	(Patent, pending, abandoned)

## POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney and/or agent to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith, before all competent international authorities in connection with any international application, and before all foreign patent offices in connection with the national phase of any international application or any foreign application, and to appoint any associate attorneys in connection with any application, either domestic, international or foreign national.

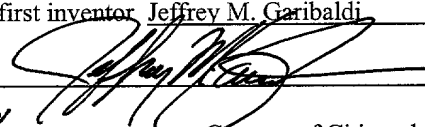
John M. Howell (25,261); Richard E. Haferkamp (29,072); Kenneth Solomon (31,427); Joseph M. Rolnicki (32,653); Joseph E. Walsh, Jr. (36,959); Alan H. Norman (32,285); Donald R. Holland (35,197); Bryan K. Wheelock (31,441); Charles E. Dunlap (35,124); Anthony G. Simon (40,813); Alan L. Cassel (35,842); Michael J. Thomas (39,857); Thomas A. Polcyn (41,256); Melodie W. Henderson (37,848); Michael E. Kondoudis (42,758); Bruce T. Atkins (P43,476)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Jeffrey M. Garibaldi

Inventor's signature 

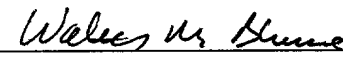
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